

**CENTRAL BANK OF THE RUSSIAN FEDERATION**

**DIRECTIVE**

**N 5946-U dated September 27, 2021**

**ON THE LIST**

**OF INSIDER INFORMATION OF THE LEGAL ENTITIES SPECIFIED  
IN ITEMS 1, 3, 4, 11 AND 12 OF ARTICLE 4 OF FEDERAL LAW  
DATED JULY 27, 2010 N 224-FZ "ON COUNTERACTING  
ILLEGAL USE OF INSIDER INFORMATION  
AND MARKET MANIPULATION AND ON AMENDING  
CERTAIN LEGISLATIVE ACTS OF THE RUSSIAN  
FEDERATION", AND ON THE PROCEDURE AND TIMING  
FOR ITS DISCLOSURE**

List of documents being amended  
(as amended by [Directive](#) of the Bank of Russia N 6476-U dated 28.06.2023)

This Directive, based on [Part 1 Article 3](#) and [Part 1 Article 8](#) of Federal Law dated July 27, 2010 N 224-FZ "On Counteracting Illegal Use Of Insider Information And Market Manipulation And On Amending Certain Legislative Acts Of the Russian Federation" (Official Gazette of the Russian Federation, 2010, N 31, Art. 4193; 2018, N 32, Art. 5103; N 53, Art. 8440) approves [the list of](#) insider information of legal entities specified in [items 1, 3, 4, 11](#) and [12 Article 4](#) of Federal Law dated July 27, 2010 No. 224-FZ "On Counteracting Illegal Use Of Insider Information And Market Manipulation And On Amending Certain Legislative Acts Of the Russian Federation" (Official Gazette of the Russian Federation, 2010, N 31, Art. 4193; 2021, N 27, Art. 5171), and also establishes the procedure and timing for its disclosure.

1. [The list of](#) insider information of legal entities specified in items 1, 3, 4, 11 and 12 of Article 4 of Federal Law dated July 27, 2010 N 224-FZ "On Counteracting Illegal Use Of Insider Information And Market Manipulation And On Amending Certain Legislative Acts Of the Russian Federation" (hereinafter, Federal Law of July 27, 2010 N 224-FZ), and the procedure and timing for its disclosure are given in the appendix hereto.

2. This Directive shall enter into force 10 days after the date of its official publication.

3. To recognize the following documents as null and void from the date this Directive enters into force:

[Directive](#) of the Bank of Russia dated November 21, 2019 N 5326-U "On the list of insider information of legal entities specified in items 1, 3, 4, 11 and 12 of Article 4 of Federal Law dated July 27, 2010 N 224-FZ "On Counteracting Illegal Use Of Insider Information And Market Manipulation And On Amending Certain Legislative Acts Of the Russian Federation", and on the procedure and timing for its disclosure", registered by the Ministry of Justice of the Russian Federation on May 26, 2020, N 58480;

[Directive](#) of the Bank of Russia dated February 2, 2021 N 5719-U "On amendments to the appendix to Directive of the Bank of Russia dated November 21, 2019 N 5326-U "On the list of insider information of legal entities specified in items 1, 3, 4, 11 and 12 of Article 4 of Federal Law dated July 27, 2010 N 224-FZ "On Counteracting Illegal Use Of Insider Information And Market Manipulation And On Amending Certain Legislative Acts Of the Russian Federation", and on the procedure and timing for its disclosure", registered by the Ministry of Justice of the Russian Federation on March 10, 2021, N 62710.

Chairperson of the Central Bank  
of the Russian Federation.  
E.S. NABIULLINA

Appendix  
to Directive of the Bank of Russia  
dated 27 September 2021 № 5946-U  
"On the list of insider information  
of legal entities specified  
in items 1, 3, 4, 11 and 12  
of Article 4 of Federal Law  
dated 27 July 2010 № 224-FZ  
"On Counteracting Illegal  
Use of Insider Information  
And Market Manipulation and On Amending  
Certain Legislative Acts  
Of the Russian Federation", and  
on the procedure and timing for its disclosure"

**LIST  
OF INSIDER INFORMATION OF LEGAL ENTITIES SPECIFIED  
IN PARAGRAPHS 1, 3, 4, 11 AND 12 OF ARTICLE 4 OF FEDERAL LAW  
DATED JULY 27, 2010 N 224-FZ "ON COUNTERACTING  
ILLEGAL USE OF INSIDER INFORMATION  
AND MARKET MANIPULATION AND ON AMENDING  
CERTAIN LEGISLATIVE ACTS OF THE RUSSIAN  
FEDERATION", AND THE PROCEDURE AND TIMING FOR ITS DISCLOSURE**

List of documents to be amended  
(as amended by [Directive](#) of the Bank of Russia N 6476-U dated 28.06.2023)

| Line number | Name of insider information  | Procedure and time limits for disclosure of insider information   |
|-------------|--|---|
| 1           | 2  | 3   |
| 1           | <p>Insider information of an issuer whose issuable securities are admitted to organized trading or in respect of whose issuable securities an application for admission to organized trading has been submitted, as well as a foreign organization that has made an agreement with a trading organizer, whereby the securities of this foreign organization (except for securities certifying rights in relation to securities of other organization) or securities of other organization certifying rights in respect of securities of this foreign organization are admitted to organized trading in the Russian Federation, with the exception of a foreign organization whose securities (securities certifying rights in relation to represented securities of a foreign organization) are admitted to organized trading, if the listing procedure for such securities has been started or completed on a foreign exchange specified in <a href="#">item 4 article 51.1</a> of Federal Law No. 39-FZ dated April 22, 1996 "On Securities Market" (Official Gazette of the Russian Federation, 1996, No. 17, Art. 1918; 2021, No. 24, Art. 4210) (hereinafter, respectively, the Federal Law "On Securities Market", the issuer)</p> |   |
| 1.1         | <p>Information on convening a general meeting of participants (shareholders) of the issuer, on declaring the general meeting of participants (shareholders) of the issuer void, as well as on decisions taken by the general meeting of participants (shareholders) of the issuer or the sole participant (the entity that owns all voting shares) of the issuer</p>   | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 14</a> of Regulation of the Bank of Russia No. 714-P dated March 27, 2020 "On Disclosure of Information by Issuers of Issuable Securities", registered by the Ministry of Justice of the Russian Federation on April 24, 2020 No. 58203 (hereinafter, Regulation of the Bank of Russia No. 714-P)</p> |
| 1.2         | <p>Information on the meeting of the board of directors (supervisory board) of the issuer and its agenda, as well as on individual decisions taken by the board of directors (supervisory board) of the issuer:</p> <ul style="list-style-type: none"> <li>on the proposal to the general shareholders meeting of the issuer being a joint-stock company, to establish in the decision on payment (declaration) of dividends a certain date on which the persons entitled to dividends are determined;</li> <li>on placement or sale of the issuer's securities;</li> <li>on determining or on the procedure for determining the placement price of shares or securities, convertible into shares, of an issuer that is a joint-stock company;</li> <li>on the establishment of the issuer's executive body and on the early termination (suspension) of its powers, including the powers of the</li> </ul>  | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 15</a> of Regulation of the Bank of Russia N 714-P</p>  |

managing company or manager;

on inclusion of candidates in the list of candidates for voting at the annual general shareholders meeting of the issuer on electing members of the board of directors (supervisory board) of the issuer;

on recommendations regarding the amount of dividends on shares of an issuer being a joint-stock company, and the procedure for their payment;

on approval of the issuer's internal documents;

on consent to the conclusion or subsequent approval of a transaction (several interconnected transactions) of the issuer, as recognized in accordance with the legislation of the Russian Federation as a major transaction and (or) a related party transaction, and also another transaction (several interconnected transactions), the amount of which is 10 percent or more of the issuer's assets book value according to the issuer's consolidated financial statements (financial statements), and if the issuer is not obliged to prepare and disclose consolidated financial statements (financial statements) - in accordance with the data of the issuer's accounting (financial) statements as of the latest reporting date (the date of the end of the latest completed reporting period preceding the date of the decision to consent to the transaction or the date of the transaction, if a decision is made about its further approval);

on assignment of powers of the sole executive body of the issuer, which is a business entity, to a managing company or manager, on approval of the managing company or manager and the terms of the agreement to be concluded by the issuer with the managing company or manager;

on approval of the registrar maintaining the register of holders of securities of the issuer, which is a joint-stock company, of the terms of the agreement with it regarding the maintenance of the register of holders of the issuer's securities, and on termination of the agreement with it

(as amended by [Directive](#) of the Bank of Russia N 6476-U dated 28.06.2023)

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| 1.3  | Information about the decision on reorganization or liquidation of the entity that provided security for the issuer's bonds   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 16</a> of Regulation of the Bank of Russia N 714-P |
| 1.4  | Information on whether the issuer or the entity that provided security for the issuer's bonds has signs of bankruptcy as provided for by Federal <a href="#">Law</a> dated October 26, 2002 N 127-FZ "On Insolvency (Bankruptcy)" (Official Gazette of the Russian Federation, 2002, N 43, art. 4190; 2021, N 27, art. 5181)                                    | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 17</a> of Regulation of the Bank of Russia N 714-P |
| 1.5  | Information on the acceptance by the arbitration court of an application for declaring the issuer insolvent (bankrupt), as well as on the adoption by the arbitration court of a decision on declaring the issuer insolvent (bankrupt), introducing one of the bankruptcy proceedings against the issuer, terminating bankruptcy proceedings against the issuer | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 18</a> of Regulation of the Bank of Russia N 714-P |
| 1.6  | Information about the date on which the persons entitled to exercise rights under the issuer's securities are determined (fixed)  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 19</a> of Regulation of the Bank of Russia N 714-P |
| 1.7  | Information on the stages of the procedure for issuing securities of the issuer   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 20</a> of Regulation of the Bank of Russia N 714-P |
| 1.8  | Information on the suspension and resumption of the issue of the issuer's securities  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 21</a> of Regulation of the Bank of Russia N 714-P |
| 1.9  | Information on the recognition of the bond program as invalid, on the recognition of an issue (additional issue) of the issuer's securities as invalid or void  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 22</a> of Regulation of the Bank of Russia N 714-P |
| 1.10 | Information on redemption of the issuer's securities  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 23</a> of Regulation of the Bank of Russia N 714-P |

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| 1.11 | Information on registration of changes to the decision to issue securities as related to changes in the scope of rights under securities and (or) the nominal value of securities, including in the event of their consolidation or splitting   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 24</a> of Regulation of the Bank of Russia N 714-P |
| 1.12 | Information on the issuer's decision to purchase (grounds for purchase) the securities placed by it   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 25</a> of Regulation of the Bank of Russia N 714-P |
| 1.13 | Information on the accrued (declared) and (or) paid out yield on the issuer's securities, on other payments due to the holders of the issuer's securities, and on the intention to fulfill the obligation to make payments on the issuer's bonds, the rights to which are recorded in the register of holders of the issuer's securities  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 26</a> of Regulation of the Bank of Russia N 714-P |
| 1.14 | Information on the inclusion of the issuer's securities by the Russian trade organizer in the list of securities admitted to organized trading for making purchase and sale agreements, including on the inclusion of the issuer's securities by the Russian stock exchange in the quotation list, or on the exclusion of the issuer's securities by the Russian trade organizer from the list of securities admitted to organized trading for the purpose of making sale and purchase agreements, including on the exclusion of the issuer's securities by the Russian stock exchange from the quotation list, and also on the transfer of the issuer's securities from one quotation list to another quotation list (on the exclusion of the issuer's securities from one quotation list and their inclusion in another quotation list) | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 27</a> of Regulation of the Bank of Russia N 714-P |
| 1.15 | Information on the inclusion of the issuer's securities (securities of a foreign organization certifying rights in respect of securities of Russian issuers (hereinafter referred to as depository securities) in the list of securities admitted to trading on a foreign organized (regulated) financial market, including the inclusion of the said securities by a   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 28</a> of Regulation of the Bank of Russia N 714-P |

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|      | foreign exchange to the quotation list, and on the exclusion of the issuer's securities (depository securities) from the list of securities admitted to trading on a foreign organized (regulated) financial market, including the exclusion of these securities by a foreign exchange from the quotation list   |   |
| 1.16 | Information on issuer's failure to fulfill its obligations to the holders of its securities, and on changes in the total number of bonds, the obligations for early redemption of which are not fulfilled<br><br>(as amended by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023)   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 29</a> of Regulation of the Bank of Russia N 714-P |
| 1.17 | Information on acquisition of the right by an entity or termination of the right of an entity directly or indirectly (through entities controlled by it), independently or jointly with other persons related to it through an agreement on trust management of property, and (or) a simple partnership agreement, and (or) an agency contract, and (or) a shareholder agreement, and (or) other agreement, the subject of which is the exercise of rights certified by the shares (stakes) of the issuer, to dispose of a certain number of votes assigned to voting shares (stakes) constituting the authorized capital of the issuer, if the specified number of votes is 5 percent or has become more or less than 5, 10, 15, 20, 25, 30, 50, 75 or 95 percent of the total number of votes assigned to voting shares (stakes) constituting the authorized capital of the issuer | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 30</a> of Regulation of the Bank of Russia N 714-P |
| 1.18 | Information about a voluntary offer received by the issuer in accordance with <a href="#">Chapter XI.1</a> of Federal Law No. 208-FZ of December 26, 1995 "On Joint Stock Companies" (Official Gazette of the Russian Federation, 1996, No. 1, Art. 1; 2006, No. 2, Art. 172 ; 2016, N 27, art. 4276) (hereinafter referred to as the Federal Law "On Joint Stock Companies") including a competing or binding offer to purchase its securities, and about changes made to the said offers   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 31</a> of Regulation of the Bank of Russia N 714-P |
| 1.19 | Information about a notification received by the issuer in   | The information shall be disclosed in the manner and within the time limits set in  |

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|   | accordance with <a href="#">Chapter XI.1</a> of the Federal Law "On Joint Stock Companies" about the right to demand the redemption of the issuer's securities or the demand for redemption of the issuer's securities  | <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 32</a> of Regulation of the Bank of Russia N 714-P  |
| 1.20  | Information on identification of errors in the previously disclosed statements of the issuer (accounting (financial) statements, consolidated financial statements, financial statements)   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 33</a> of Regulation of the Bank of Russia N 714-P |
| 1.21  | Information testifying that the issuer or an entity controlled by the issuer which is material to the issuer, as determined in accordance with <a href="#">item 1.14</a> of Regulation of the Bank of Russia No. 714-P (hereinafter referred to as the entity controlled by the issuer that is material to the issuer), has made a transaction amounting to 10 or more percent of the issuer's assets book value (in accordance with financial statements of the issuer if the transaction is made by the issuer that is not obliged to prepare and disclose consolidated financial statements, or in accordance with the issuer's accounting (financial) statements if the transaction is made by the issuer that is not obliged to prepare and disclose financial statements, or in accordance with accounting (financial) statements (aggregate asset's value) of both the issuer and the entity controlled by the issuer which is material to the issuer, if the issuer is not obliged to prepare and disclose consolidated financial statements and the transaction is made by the entity controlled by the issuer which is material to the issuer), as of the latest reporting date (end date of the latest completed reporting period preceding the date of the transaction) | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 34</a> of Regulation of the Bank of Russia N 714-P |
| (as amended by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023) |   |   |
| 1.22  | Information testifying that the issuer, or an entity controlled by the issuer that is material to the issuer, has made a related party transaction that has the features specified in <a href="#">item 35.1</a> of Regulation of the Bank of Russia N 714-P   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 35</a> of Regulation of the Bank of Russia N 714-P |
| 1.23  | Information on changes in the composition and (or) size of the  | The information shall be disclosed in the manner and within the time limits set in  |



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|      | collateral for the issuer's collateral bonds, and in the event of a change in the composition and (or) size of the collateral for the issuer's mortgage-backed bonds - information on these changes, if they are caused by replacement of any secured claim constituting the mortgage coverage of bonds, or by replacing other property constituting the mortgage coverage of bonds, the value (monetary value) of which is 10 or more percent of the size of the mortgage coverage for bonds   | items 13.4, 13.7, 13.8, 13.10 and Chapter 36 of Regulation of the Bank of Russia N 714-P  |
| 1.24 | Information on the receipt by the issuer of the right or the termination of the right of the issuer directly or indirectly (through entities controlled by it), independently or jointly with other persons related to it through an agreement on trust management of property, and (or) a simple partnership agreement, and (or) an agency contract, and (or) a shareholder agreement, and (or) other agreement, the subject of which is the exercise of rights certified by the shares (stakes) of a company whose securities are admitted to organized trading, to dispose of a certain number of votes assigned to voting shares (stakes) constituting its authorized capital, if the specified number of votes is 5 percent or has become more or less than 5, 10, 15, 20, 25, 30, 50, 75 or 95 percent of the total number of votes assigned to voting shares (stakes) constituting the authorized capital of the above company | The information shall be disclosed in the manner and within the time limits set in items 13.4, 13.7, 13.8, 13.10 and Chapter 37 of Regulation of the Bank of Russia N 714-P |
| 1.25 | Information on conclusion of an agreement by an entity controlling the issuer or a company controlled by the issuer, providing for the obligation to purchase the issuer's securities   | The information shall be disclosed in the manner and within the time limits set in items 13.4, 13.7, 13.8, 13.10 and Chapter 38 of Regulation of the Bank of Russia N 714-P |
| 1.26 | Information on the emergence and (or) termination of the right of holders of the issuer's bonds to demand from the issuer early redemption of the issuer's bonds belonging to them  | The information shall be disclosed in the manner and within the time limits set in items 13.4, 13.7, 13.8, 13.10 and Chapter 39 of Regulation of the Bank of Russia N 714-P |
| 1.27 | Information on assigning ratings to securities and (or) to their issuer, as well as on changing ratings by a credit rating agency or other organization based on an agreement concluded with the issuer   | The information shall be disclosed in the manner and within the time limits set in items 13.4, 13.7, 13.8, 13.10 and Chapter 40 of Regulation of the Bank of Russia N 714-P |
| 1.28 | Information on the initiation of proceedings in a court in respect to   | The information shall be disclosed in the manner and within the time limits set in  |

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|      | <p>a dispute related to the establishment of the issuer, its management or participation in it (hereinafter referred to as a corporate dispute), or another dispute in which the issuer is a plaintiff or defendant and the amount of claims for which is 10 percent or more of the book value of assets determined according to the accounting (financial) statements of the issuer as of the latest reporting date (end date of the latest completed reporting period preceding the date the court accepted the statement of claim for proceedings) (hereinafter referred to as a material dispute), as well as on the adoption of a judicial act ending consideration of a case on the merits of a corporate dispute or a material dispute, with the exception of the information specified in <a href="#">item 41.6</a> of Regulation of the Bank of Russia No. 714-P</p> | <p><a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 41</a> of Regulation of the Bank of Russia N 714-P</p>  |
| 1.29 | <p>Information on the placement outside the Russian Federation of bonds or other financial instruments certifying loan obligations to be fulfilled at the expense of the issuer</p>   | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 42</a> of Regulation of the Bank of Russia N 714-P</p> |
| 1.30 | <p>Information on the decision of the Bank of Russia to release the issuer from the obligation to disclose information in accordance with <a href="#">Article 30</a> of the Federal Law "On the Securities Market" (Official Gazette of the Russian Federation, 1996, N 17, item 1918; 2018, N 53, item 8440)</p>   | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 43</a> of Regulation of the Bank of Russia N 714-P</p> |
| 1.31 | <p>Information on the acquisition (divestment) of voting shares (stakes) of the issuer or of depository securities certifying the rights in respect of the issuer's voting shares by the issuer or an organization controlled by the issuer, except for cases of acquisition of the issuer's voting shares (depository securities certifying the rights in respect of the issuer's voting shares ) in the process of placement of the issuer's voting shares or acquisition (divestment) of the issuer's voting shares (depository securities certifying the rights in respect of the issuer's voting shares) by a broker and (or) trustee acting on their own behalf, but at the expense of the client in pursuance of the client's order</p>  | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 44</a> of Regulation of the Bank of Russia N 714-P</p> |

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| 1.32  | Information on the meeting and agenda of the general meeting of the issuer's bondholders, on decisions taken by the general meeting of the issuer's bondholders, and on declaring the general meeting of the issuer's bondholders invalid  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 45</a> of Regulation of the Bank of Russia N 714-P     |
| 1.33  | Information on the appointment by the bond issuer of a representative of the bond holders after the registration of the bond issue   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 46</a> of Regulation of the Bank of Russia N 714-P     |
| 1.34  | Information on the date from which the representative of bondholders shall exercise his/her powers   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 47</a> of Regulation of the Bank of Russia N 714-P     |
| 1.35  | Information that the issuer has made a novation agreement or an agreement on provision of compensation entailing the termination of obligations under the issuer's bonds   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 48</a> of Regulation of the Bank of Russia N 714-P     |
| 1.36  | Information on whether the holders of the issuer's convertible securities have the right to demand from the issuer the conversion of the issuer's convertible securities belonging to them   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49</a> of Regulation of the Bank of Russia N 714-P     |
| 1.36(1)   | Information on the project (projects) selected by the issuer, for the financing and (or) refinancing of which the funds received from the placement of bonds, the issue (program) of which is additionally identified using the words "green bonds", "social bonds", "sustainable development bonds", "adaptation bonds" (hereinafter referred to as green bonds, social bonds, sustainable development bonds, adaptation bonds, respectively), are (will be) used, in case such project(s) is (are) not specified in the decision to issue green bonds, social bonds, sustainable development bonds, adaptation bonds | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (1)</a> of Regulation of the Bank of Russia N 714-P |
| (item 1.36(1) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 ) |  |   |
| 1.36(2)   | Information on the conducting and results of an independent external assessment of compliance of the issue (program) of green  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (2)</a> of Regulation of the Bank of Russia N       |

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|  | <p>bonds, social bonds, sustainable development bonds, bonds, the issue (program) of which is additionally identified using the words "bonds related to sustainable development goals" (hereinafter, bonds related to sustainable development goals), adaptation bonds or the issuer's policy on the use of funds received from the placement of the said bonds, or project(s), for the financial and economic development of the issuer, or project(s), for the financing (refinancing) of which monetary funds received from the placement of the said bonds will be used, with the principles and standards of financial instruments specified in the decision to issue such bonds</p>   | <p>714-P</p>   |
| <p>(item 1.36(2) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 )</p> |   |  |
| <p>1.36(3)</p>   | <p>Information on the conducting and results of an independent external assessment of changes made to the issuer's policy on the use of funds received from the placement of green bonds, social bonds, sustainable development bonds, adaptation bonds</p>   | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (3)</a> of Regulation of the Bank of Russia N 714-P</p> |
| <p>(item 1.36(3) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 )</p> |   |  |
| <p>1.36(4)</p>   | <p>Information on the conducting and results of an independent external assessment of changes made to the climate transition strategy (if the changes concern the issuer's performance targets and their intermediate and final values, the internationally recognized climate change scenario that is the basis for the development of the issuer's climate transition strategy, and also affect the achievability of intermediate and final values of the issuer's performance targets through the implementation of the issuer's climate transition strategy) of the issuer of the bonds, the issue (program) of which is additionally identified using the words "climate transition bonds" (hereinafter, climate transition bonds)</p> | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (4)</a> of Regulation of the Bank of Russia N 714-P</p> |
| <p>(item 1.36(4) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 )</p> |   |  |
| <p>1.36(5)</p>   | <p>Information on the misuse of funds received from the placement of</p>  | <p>The information shall be disclosed in the manner and within the time limits set in</p>  |

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|  | <p>green bonds, bonds, the issue (program) of which is additionally identified using the words "infrastructure bonds", social bonds, sustainable development bonds, adaptation bonds, and on the occurrence of consequences of the misuse of the said funds provided for by the decision on the issue of bonds</p>  | <p><a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (5)</a> of Regulation of the Bank of Russia N 714-P</p>  |
| <p>(item 1.36(5) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 )</p> |   |  |
| <p>1.36(6)</p>   | <p>Information on violation of principles and standards of financial instruments specified in the decision to issue bonds, when implementing the project (projects), for the financing and (or) refinancing of which monetary funds received from the placement of green bonds, social bonds, sustainable development bonds, adaptation bonds are used</p>  | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (6)</a> of Regulation of the Bank of Russia N 714-P</p> |
| <p>(item 1.36(6) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 )</p> |   |  |
| <p>1.36(7)</p>   | <p>Information on including green bonds, social bonds, sustainable development bonds, adaptation bonds in the list of financial instruments of sustainable development published on the specialized page of the methodological center of the State Development Corporation "VEB.RF" in the information and telecommunication network "Internet", or in the list of financial instruments of sustainable development maintained by the International Capital Markets Association (ICMA) or the International non-profit organization "The Climate Initiative" (CBI), and on excluding such bonds from the above list</p> | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (7)</a> of Regulation of the Bank of Russia N 714-P</p> |
| <p>(item 1.36(7) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 )</p> |   |  |
| <p>1.36(8)</p>   | <p>Information on achievement of or failure to achieve intermediate (if any) or final target values of the key performance indicator(s) of the bond issuer specified in the decision on the bond issue, related to sustainable development goals</p>  | <p>The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (8)</a> of Regulation of the Bank of Russia N 714-P</p> |

(item 1.36(8) was introduced by [Directive](#) of the Bank of Russia N 6476-U dated 28.06.2023 )

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| 1.36(9) | Information on the achievement of or failure to achieve intermediate or final values of the issuer's performance targets for climate transition bonds specified in the issuer's strategy for changing its activity in order to make a transition to a low-carbon economy (energy transition), prevent climate change and achieve other goals established by the Paris <a href="#">Agreement</a> of December 12, 2015, adopted by <a href="#">Resolution</a> of the Government of the Russian Federation of September 21, 2019 N 1228 "On Adoption of the Paris Agreement" (entered into force for the Russian Federation on November 6, 2019) | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 49 (9)</a> of Regulation of the Bank of Russia N 714-P |
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(item 1.36(9) was introduced by [Directive](#) of the Bank of Russia N 6476-U dated 28.06.2023 )

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| 1.37 | Information on a decision passed by the authorized body of the issuer, which is a credit institution, on complete (partial) termination of obligations to pay the principal debt, of outstanding interest liabilities and liabilities under financial sanctions for default on obligations under subordinated bonded loans  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 50</a> of Regulation of the Bank of Russia N 714-P |
| 1.38 | Information on a decision passed by the authorized body of the issuer, which is a credit institution, to unilaterally refuse to pay interest (coupon) on subordinated bonded loans  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 50</a> of Regulation of the Bank of Russia N 714-P |
| 1.39 | Information constituting the annual consolidated financial statements (financial statements) of the issuer, interim consolidated financial statements (financial statements) of the issuer for the reporting period, consisting of 6 months of the reporting year, as well as information contained in the auditor's reports prepared in relation to those statements, or other document based on the results of an audit of interim consolidated financial statements (financial statements) in accordance with auditing standards | The information shall be disclosed in the manner and within the time limits set in <a href="#">Chapters 52 and 53</a> and <a href="#">item 73.2</a> of Regulation of the Bank of Russia N 714-P             |
| 1.40 | Information contained in the annual reports of an issuer, which is a joint-stock company, with the exception of information that was  | The information shall be disclosed in the manner and within the time limits set in <a href="#">Chapter 57</a> of Regulation of the Bank of Russia N 714-P   |

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|      | already disclosed earlier  |  |
| 1.41 | Information constituting the interim accounting (financial) statements of the issuer for the reporting period consisting of 3, 6 or 9 months of the reporting year, together with the information contained in the audit reports prepared in relation to the said statements, if an audit was performed in respect of the said statements, as well as information constituting the annual accounting (financial) statements of the issuer, together with the auditor's report on it, if it was audited | <p>The information shall be disclosed by issuers that are not credit institutions, in the manner and within time limits established by <a href="#">Chapter 55</a> of Regulation of the Bank of Russia N 714-P; the information shall be disclosed by issuers that are credit institutions on the earliest of the following dates:</p> <p>within the time limits established by <a href="#">Chapter 55</a> of Regulation of the Bank of Russia N 714-P, in accordance with the procedure established therein;</p> <p>within the time limits established by <a href="#">Chapter 2</a> of the Bank of Russia Directive No. 4983-U dated November 27, 2018 "On the forms, procedure and time limits for disclosing information by credit institutions on their activities", registered by the Ministry of Justice of the Russian Federation on February 21, 2019 No. 53861, March 31, 2020 N 57917, February 15, 2021 N 62505 (hereinafter referred to as the Bank of Russia Directive N 4983-U), in accordance with the procedure established by <a href="#">Chapter 3</a> of the Bank of Russia Directive N 4983-U</p> |
| 1.42 | Information contained in the reports of issuers of issuable securities for reporting periods consisting of 6 months and 12 months, with the exception of information that was already disclosed earlier  | The information shall be disclosed in the manner and within the time limits set in <a href="#">Chapters 11</a> and <a href="#">12</a> of Regulation of the Bank of Russia N 714-P  |
| 1.43 | Information contained in the issuer's securities prospectus, with the exception of information that has already been previously disclosed  | The information shall be disclosed in the manner and within the time limits set in <a href="#">Chapters 9</a> and <a href="#">10</a> of Regulation of the Bank of Russia N 714-P   |
| 1.44 | Information constituting terms and conditions for the placement of securities, defined by the issuer in a separate document, with the exception of information that has already been disclosed earlier (in the absence of a prospectus for securities or absence of conditions for their placement in the prospectus for securities)   | The information shall be disclosed in the manner and within the time limits set in <a href="#">Chapters 4</a> and <a href="#">5</a> of Regulation of the Bank of Russia N 714-P  |
| 1.45 | Information on a strategic partnership agreement or other agreement (transaction) concluded by the issuer, with the exception of agreements (transactions) provided for in <a href="#">lines 1.21, 1.22</a> and <a href="#">1.27</a> of this Appendix, if the conclusion of such agreement (transaction) may have a significant effect on the price of the issuer's securities admitted  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 50</a> of Regulation of the Bank of Russia N 714-P  |

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|      | to organized trading (in respect of which an application has been submitted for admission to organized trading)  |   |
| 1.46 | Information on injunctive remedies taken by a court, arbitration court, Federal Bailiff Service (including the blocking) in respect of money or other property belonging to the issuer, its controlling company, a company controlled by the issuer that is material to the issuer, or to an entity that provided security for bonds of the issuer admitted to organized trading (in respect of which an application has been submitted for admission to organized trading), other than the Russian Federation that provided a state guarantee of the Russian Federation, a constituent entity of the Russian Federation that has provided a state guarantee of a constituent entity of the Russian Federation, or a municipal entity that has provided a municipal guarantee, amounting to 10% or more of the book value of the assets of the said entities as of the end date of the latest completed reporting period preceding the injunctive remedies   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 50</a> of Regulation of the Bank of Russia N 714-P |
| 1.47 | Information on acquisition by an entity of the right or the termination of the right of an entity, directly or indirectly (through entities controlled by it), independently or jointly with other persons related to it through an agreement on trust management of property, and (or) a simple partnership agreement, and (or) agency contract, and (or) shareholder agreement, and (or) other agreement, the subject of which is the exercise of rights certified by the shares (stakes) of a company that provided a surety, guarantee or pledge on the issuer's bonds admitted to organized trading (in respect of which an application was for admission to organized trading), if the person that provided such surety, guarantee or pledge on such bonds is not the Russian Federation that provided the state guarantee of the Russian Federation, a constituent entity of the Russian Federation that provided a state guarantee of a constituent entity of the Russian Federation, or a municipal entity that provided a municipal guarantee, to dispose of a certain number of votes assigned to voting shares | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 50</a> of Regulation of the Bank of Russia N 714-P |



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|      | (stakes) constituting its authorized capital, if the specified number of votes is 5 percent or has become more or less than 5, 10, 15, 20, 25, 30, 50, 75 or 95 percent of the total number of votes assigned to voting shares (stakes) constituting the authorized capital of the above company  |   |
| 1.48 | Information about the circumstances stipulated by <a href="#">paragraph two item 1 (23) of Article 2</a> of the Federal Law "On Securities Market", depending on the occurrence or non-occurrence of which, payments shall be made or shall not be made on the issuer's structured bonds admitted to organized trading (in respect of which an application was been submitted for admission to organized trading) (including payments upon redemption of structural bonds) (hereinafter, the circumstances), indicating the numerical values (parameters, terms and conditions) of the circumstances or the procedure for their determination, as well as the amount of payments on a structural bond (including the amount of payments upon redemption of a structural bond ) or the manner in which it is determined, except for information that was already disclosed | The information shall be disclosed in the manner and within the time limits set in <a href="#">item 4.6</a> of Regulation N 714-P   |
| 1.49 | Information on the numerical values (parameters, terms and conditions) of the circumstances or the procedure for determining them, as well as on the amount of payments on a structural bond (including the amount of payments upon redemption of a structural bond) or the procedure for determining it, if they are established by the authorized body of the issuer prior to placement of structural bonds in accordance with <a href="#">subitems 1 and 2 of item 3 Article 27.1-1</a> of the Federal Law "On Securities Market" (Official Gazette of the Russian Federation, 1996, N 17, Art. 1918; 2018, N 53, Art. 8440), except for information that was already disclosed (if such information is not contained in the decision to issue structured bonds)   | The information shall be disclosed in the manner and within the terms established by the decision to issue structured bonds   |
| 1.50 | Information on the initiation of a criminal case (received by the issuer from the preliminary investigation authorities or investigative  | The information shall be disclosed in accordance with the procedure and within the terms established by the issuer's internal documents, if the issuer decides to disclose it |

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|      | agencies) against a person who is a member of management bodies of the issuer, of a company that controls the issuer, a company controlled by the issuer that is material to it, or an entity that provided security for the issuer's bonds admitted to organized trading (in respect of which an application was submitted for admission to organized trading)  |   |
| 1.51 | Information contained in the reporting forms specified in <a href="#">lines 16, 17</a> (with the exception of <a href="#">lines 17a</a> and <a href="#">17b</a> ), <a href="#">18, 19, 21 - 23, 26 - 28, 30 - 35, 40, 42, 45, 48, 52, 56, 61 - 64, 66, 83, 116</a> of Appendix 2 to Directive No. 4927-U of the Bank of Russia dated October 8, 2018 "On the List, Forms and Procedure for Compiling and Submitting Reporting Forms of Credit Institutions to the Central Bank of the Russian Federation", registered by the Ministry of Justice of the Russian Federation on December 13, 2018 No. 52992, December 13 2019 N 56796, June 18, 2020 N 58705, September 30, 2020 N 60147, March 26, 2021 N 62892, April 15, 2021 N 63150 (for issuers that are credit institutions (head credit institutions of banking groups)) | The information shall be disclosed in accordance with the procedure and within the terms established by the issuer's internal documents, if the issuer decides to disclose it       |
| 1.52 | Information contained in <a href="#">Appendices 1 - 5</a> to Bank of Russia Directive No. 2181-U dated February 9, 2009 "On the Procedure for Submitting Information and Documents by Credit Institutions to Authorized Representatives of the Bank of Russia", registered by the Ministry of Justice of the Russian Federation on February 18, 2009 No. 13380, May 18 2012 N 24213, December 18, 2013 N 30628 (hereinafter, Directive of the Bank of Russia N 2181-U), as well as information contained in the statements submitted to authorized representatives of the Bank of Russia in accordance with <a href="#">Directive</a> of the Bank of Russia N 2181-U (for issuers that are credit institutions)  | The information shall be disclosed in accordance with the procedure and within the time limits established by the issuer's internal documents, if the issuer decides to disclose it |
| 1.53 | Information contained in <a href="#">Appendices 1 - 3</a> to Bank of Russia Directive No. 3777-U dated September 9, 2015 "On Preparation and Submission of Statements and Other Information to the Bank of Russia on the Bank Holding Risks", registered by the Ministry of Justice of the   | The information shall be disclosed in accordance with the procedure and within the time limits established by the issuer's internal documents, if the issuer decides to disclose it |

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|      | Russian Federation on November 9, 2015 No. 39615 , June 13, 2018 N 51340 (for issuers that are parent organizations of bank holdings)   |   |
| 1.54 | Information on accepted risks, procedures for their assessment, risk and capital management, generated in accordance with Bank of Russia <a href="#">Directive</a> No. 4482-U dated August 7, 2017 "On the Form and Procedure for Disclosing Information on Accepted Risks by a Credit Institution (Parent Credit Institution of a Banking Group), Procedures for their Assessment, Risk and Capital Management", registered by the Ministry of Justice of the Russian Federation on November 1, 2017 N 48769, on June 29, 2018 N 51480, on February 21, 2019 N 53860, on July 10, 2020 N 58908 (for the issuers that are credit institutions (parent credit institutions of banking groups), with the exception of banks with a basic license and non-banking credit institutions) | The information shall be disclosed in accordance with the procedure and within the terms established by the issuer's internal documents, if the issuer decides to disclose it                               |
| 1.55 | Information contained in the materials whereby the issuer's management bodies, with the exception of the general shareholders meeting, make decisions that may have a material effect on the price of the issuer's securities admitted to organized trading (in respect of which an application was submitted for admission to organized trading), except for information that was already disclosed earlier  | The information shall be disclosed in accordance with the procedure and within the terms established by the issuer's internal documents, if the issuer decides to disclose it                               |
| 1.56 | Information about other events (actions) that, in the opinion of the issuer, have a material effect on the value or quotations of its securities  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 13.4, 13.7, 13.8, 13.10</a> and <a href="#">Chapter 50</a> of Regulation of the Bank of Russia N 714-P |
| 2    | Insider information of a foreign company whose securities (securities certifying the rights in relation to the represented securities of a foreign company) are admitted to organized trading, if the listing procedure for such securities on the foreign exchange specified in <a href="#">item 4 Article 51.1</a> of the Federal Law "On the Securities Market" has been started or completed (hereinafter, the foreign issuer)  |   |
| 2.1  | Information classed as insider information of a foreign issuer in accordance with the rules of a foreign exchange that meets the criteria established by the Bank of Russia in accordance with <a href="#">item 4 Article 51.1</a> of the Federal Law "On Securities Market" (hereinafter, the foreign exchange), and (or) in accordance with its personal law, and/or in   | The information shall be disclosed in the manner and within the terms established by the rules of the foreign exchange, and (or) its personal law, and (or) the personal law of a foreign issuer            |

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|       | accordance with the personal law of the foreign issuer   |  |
| 2.2   | Information identified by a foreign issuer as relating to its insider information, if such information is not defined by the rules of a foreign exchange, and (or) its personal law, and (or) the personal law of a foreign issuer   | The information shall be disclosed in accordance with the procedure and within the terms established by the internal documents of the foreign issuer   |
| 3     | Insider information of the managing company of investment funds, mutual investment funds and non-state pension funds (hereinafter referred to as the managing company)   |  |
| 3.1   | Information contained in the certificate of the value of net assets, including the value of assets (property) of a joint-stock investment fund (constituting a mutual investment fund), if the shares of the joint-stock investment fund (units of mutual investment fund) are admitted to organized trading (an application for admission to organized trading has been submitted in relation to the shares of the joint-stock investment fund (units of mutual investment fund)) | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of the Bank of Russia Directive No. 5609-U, dated November 2, 2020, "On Disclosure, Publication and Provision of Information by Joint-Stock Investment Funds and Management Companies of Investment Funds, Mutual Investment Funds and Non-State Pension Funds, and on the Requirements for Calculating the Return on Investment Activities of a Joint-Stock Investment Fund and Managing Company of a Mutual Investment Fund", registered by the Ministry of Justice of the Russian Federation on December 4, 2020 N 61277 (hereinafter referred to as Bank of Russia Directive N 5609-U), <a href="#">line 2.6</a> of Appendix 1 to Bank of Russia Directive N 5609-U |
| 3.2   | Information contained in the report on the increase (decrease) in the value of property owned by a joint-stock investment fund (constituting a mutual investment fund), if the shares of the joint-stock investment fund (units of the mutual investment fund) are admitted to organized trading (an application for admission to organized trading has been submitted in relation to the shares of the joint-stock investment fund (units of the mutual investment fund))         | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 2.7</a> of Appendix 1 to Bank of Russia Directive N 5609-U   |
| 3.3   | Information on making the following decisions by the managing company:   |  |
| 3.3.1 | on suspension of the issuance (simultaneous suspension of the issuance, redemption and exchange) of investment units of a mutual investment fund admitted to organized trading (in respect of which an application for admission to organized trading has been submitted)  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 3.16</a> of Appendix 1 to Bank of Russia Directive N 5609-U  |

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| 3.3.2 | on resumption of the issuance (simultaneous resumption of the issuance, redemption and exchange) of investment units of a mutual investment fund admitted to organized trading (in respect of which an application for admission to organized trading has been made)  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 3.17</a> of Appendix 1 to Bank of Russia Directive N 5609-U |
| 3.3.3 | on the issuance of investment units of a closed-end mutual investment fund after the completion (end) of the formation of a closed-end mutual investment fund in addition to the number of issued investment units specified in the rules for trust management of a closed-end mutual investment fund admitted to organized trading (in respect of which an application for admission to organized trading has been submitted) ), and the start of acceptance of applications for acquiring additional investment units of the closed-end mutual investment fund admitted to organized trading (in respect of which an application for admission to organized trading has been submitted)   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 3.13</a> of Appendix 1 to Bank of Russia Directive N 5609-U |
| 3.4   | Information on the payment of return on investment units of a mutual investment fund, the units of which are admitted to organized trading (in respect of whose units an application for admission to organized trading has been submitted)   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 3.18</a> of Appendix 1 to Bank of Russia Directive N 5609-U |
| 3.5   | Information containing amendments and additions to be made to the local regulation of a joint-stock investment fund or a managing company of a mutual investment fund, in accordance with which the joint-stock investment fund or the managing company of a mutual investment fund determines the value of net assets of a joint-stock investment fund or mutual investment fund, the shares (investment units) of which are admitted to organized trading (in respect of whose shares (investment units) an application for admission to organized trading has been made), as provided for by <a href="#">item 1.1</a> of Bank of Russia Directive dated August 25, 2015 N 3758-U "On Determining the Value of Net Assets of Investment Funds Including on the Procedure for Calculating the Average Annual Value of Net Assets of a Mutual Investment Fund and of Net Assets of a Joint-Stock Investment Fund, | The information shall be disclosed in the manner and within the time limits set in <a href="#">paragraphs Two and Tree of Item 1.21</a> of the Bank of Russia Directive N 3758-P  |

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|     | the Estimated Value of Investment Units of Mutual Investment Funds, the Value of Property Transferred as Payment for Investment Units", registered by the Ministry of Justice of the Russian Federation on October 8, 2015 N 39234, on January 13, 2017 N 45188, on May 26, 2020 N 58470 (hereinafter, the Bank of Russia Directive N 3758-U)  |  |
| 3.6 | Information on the grounds for termination of a mutual investment fund, the investment units of which are admitted to organized trading (in respect of whose investment units an application for admission to organized trading has been submitted)  | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 3.30</a> of Appendix 1 to Bank of Russia Directive N 5609-U  |
| 3.7 | Information on the decision to transfer rights and obligations under the agreement on trust management of a mutual investment fund, the investment units of which are admitted to organized trading (in respect of whose investment units an application for admission to organized trading has been submitted), to another managing company   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 3.20</a> of Appendix 1 to Bank of Russia Directive N 5609-U  |
| 3.8 | Information on the decision to transfer rights and obligations under the agreement on trust management of mortgage coverage, certified by mortgage participation certificates admitted to organized trading (in respect of which an application for admission to organized trading has been submitted), to another manager of mortgage coverage determined in accordance with <a href="#">paragraph Seven of Article 2</a> of Federal Law No. 152-FZ dated November 11, 2003 "On Mortgage Securities" (Official Gazette of the Russian Federation, 2003, No. 46, Art. 4448; 2020, No. 50, Art. 8063) (hereinafter referred to as the manager of mortgage coverage) | <p>The information shall be disclosed on a website on the Internet where the electronic address includes a domain name the rights to which belong to the managing company (hereinafter referred to as the website of the managing company), within one business day from the date of the decision to transfer rights and obligations under the agreement on trust management of mortgage coverage certified by mortgage participation certificates admitted to organized trading (in respect of which an application for admission to organized trading has been submitted) to another manager of mortgage coverage.</p> <p>The information shall be available on the site of the managing company from the date of its disclosure until the earliest of the following dates:</p> <ul style="list-style-type: none"> <li>before the expiration of three years from the date of disclosure in accordance with this line;</li> <li>until the date of exclusion of information on mortgage coverage from the register of rules for trust management of mortgage coverage</li> </ul> |
| 3.9 | Information on the amount of funds expected to be paid out of payments received under the obligations, the claims on which   | The information shall be disclosed on the website of the managing company within one business day from the day when the managing company found out or should have  |

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|      | constitute mortgage coverage, certified by mortgage participation certificates admitted to organized trading (in respect of which an application for admission to organized trading has been submitted)   | <p>found out about the amount of money expected to be paid out of payments received under obligations, the claims for which constitute mortgage coverage certified by mortgage participation certificates admitted to organized trading (in respect of which an application for admission to organized trading has been submitted).</p> <p>The information shall be available on the site of the managing company from the date of its disclosure until the earliest of the following dates:</p> <ul style="list-style-type: none"> <li>before the expiration of three years from the date of disclosure in accordance with this line;</li> <li>until the date of exclusion of information on mortgage coverage from the register of rules for trust management of mortgage coverage</li> </ul> |
| 3.10 | Information on the decision to exchange all investment units of one open-end mutual investment fund admitted to organized trading (in respect of which an application for admission to organized trading has been made) for investment units of other open-end mutual investment fund admitted to organized trading (in respect of which an application for admission to organized trading has been made)   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609-U, <a href="#">line 3.28</a> of Appendix 1 to Bank of Russia Directive N 5609-U   |
| 3.11 | Information on decisions taken by the investment committee, the general meeting of the owners of investment units of a closed-end mutual investment fund, the investment units of which are admitted to organized trading (in respect of investment units of which an application for admission to organized trading has been submitted), the general meeting of owners of mortgage participation certificates admitted to organized trading trading (in respect of which an application for admission to organized trading has been submitted) | The information shall be disclosed in accordance with the procedure and within the time limits established by the managing company's internal documents, if the managing company decides to disclose it   |
| 3.12 | Information about the assets that make up the mutual investment fund, the investment units of which are admitted to organized trading (in respect of whose investment units an application for admission to organized trading has been submitted), and their share in the property of the specified mutual investment fund, except for the information specified in <a href="#">line 3.1</a> of the present Appendix  | Shall not be disclosed  |

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| 3.13 | Information contained in the decisions of employees, authorized bodies of the managing company on transactions (operations) with financial instruments, foreign currency and (or) goods admitted to organized trading (in respect of which an application for admission to the trading has been submitted), and (or) with financial instruments where the underlying assets are securities, stock indexes, foreign currency, goods, in the interests of the founders of the trust management of mutual investment funds, shareholders of joint-stock investment funds, holders of mortgage participation certificates, insured persons or participants in non-state pension funds, in the event that the execution of such decisions can have a material effect on their prices | Shall not be disclosed  |
| 3.14 | Information about events (actions) that, in the opinion of the managing company, may have a material effect on the value of investment units of a mutual investment fund admitted to organized trading (in respect of which an application for admission to organized trading has been submitted)   | The information shall be disclosed in the manner and within the time limits set in <a href="#">items 3, 8 - 10</a> of Bank of Russia Directive N 5609, <a href="#">line 3.32</a> of Appendix 1 to Bank of Russia Directive N 5609-U   |
| 4    | Insider information of the trade organizer  |   |
| 4.1  | Information on suspension of the organized trading of a financial instrument, foreign currency or commodity   | The information shall be disclosed on a website in the Internet, the electronic address of which includes a domain name, the rights to which belong to the trade organizer (hereinafter referred to as the website of the trade organizer), within one trading day following the day the decision was made to suspend the organized trading with a financial instrument, foreign currency or commodity, and shall be available for at least 12 months from the date of disclosure, and in case of the trading suspension at the request of the Bank of Russia or in accordance with <a href="#">sub-items 1.15.1 - 1.15.3</a> of <a href="#">item 1.15</a> of Regulation of the Bank of Russia dated October 17 2014 N 437-P "On Organized Trading Activity", registered by the Ministry of Justice of the Russian Federation on December 30, 2014 N 35494, on February 16, 2018 N 50066, on October 16, 2020 N 60426 (hereinafter, Regulation of the Bank of Russia N 437-P ), if it is impossible to disclose information about the suspension of organized trading within the specified period - within one hour from the date of organized trading suspension |



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| 4.2  | Information on resumption of the organized trading with a financial instrument, foreign currency or commodity  | The information shall be disclosed on the website of the trade organizer no later than fifteen minutes prior to resumption of organized trading and shall be available for at least 12 months from the date of disclosure  |
| 4.3  | Information on the termination of the organized trading with a financial instrument, foreign currency or commodity                                     | The information shall be disclosed on the website of the trade organizer within the time limits stipulated by the rules of organized trading, but no later than within one trading day following the day the decision was made to terminate the trading, and shall be available on the website of the trade organizer for at least 12 months from the date of its disclosure   |
| 4.4  | Information on the inclusion of securities in the list of securities admitted to organized trading (listing of securities)                             | The information shall be disclosed in the manner and within the time limits established by <a href="#">paragraph Two item 9.1</a> of Regulation of the Bank of Russia No. 534-P dated February 24, 2016 "On Admission of Securities to Organized Trading", registered by the Ministry of Justice of the Russian Federation on April 28, 2016 No. 41964, January 24, 2017 No. 45369, June 23, 2017 No. 47128 June 25, 2018 No. 51420, July 22, 2019 No. 55339, October 27, 2020 No. 60599 |
| 4.5  | Information on inclusion of securities in the quotation list (admission of securities to organized trading with their inclusion in the quotation list) |  |
| 4.6  | Information on exclusion of securities from the quotation list   |  |
| 4.7  | Information on exclusion of securities from the list of securities admitted to organized trading (on the delisting of securities)                      |  |
| 4.8  | Information on the transfer of securities from one quotation list to another quotation list  |  |
| 4.9  | Information on the results of placement of exchange-traded bonds   | The information shall be disclosed in the manner and within the time limits set in <a href="#">paragraphs Two - Eleven of Item 6</a> of Appendix 4 to Regulation of the Bank of Russia N 437-P   |
| 4.10 | Information on the settlement price of an investment unit of an exchange-traded mutual investment fund   | The information shall be disclosed on the website of the trade organizer within the time limits established by the agreement provided for by <a href="#">item 2 Article 14.2</a> of Federal Law No. 156-FZ of November 29, 2001 "On Investment Funds" (Official Gazette of the Russian Federation, 2001, No. 49, Art. 4562; 2013 , N 30, art. 4084), and shall be available  |

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|         |  | for at least 12 months from the date of disclosure  |
| 4.11    | Information on contracts of purchase and sale of securities concluded by professional securities market participants engaged in brokerage, dealer activities, securities management activities, and (or) management companies not through organized trading, as part of the information provided for by <a href="#">paragraphs Two, Three and Eleven item 1.5</a> of Directive of the Bank of Russia N 6264-U dated September 26, 2022 "On requirements for professional participants of the securities market to carry out brokerage, dealer activities, securities management activities and activities (operations) of management companies of investment funds, mutual funds and non-state pension funds in terms of providing information to the stock exchange on contracts of purchase and sale of securities concluded by them not through organized trading, as well as the rules, composition, procedure and time limits for its disclosure by the stock exchange" (registered by the Ministry of Justice of Russia on January 27, 2023, registration number N 72145) (hereinafter, Bank of Russia Directive N 6264-U) | Shall not be disclosed  |
|         | (as amended by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023)  |   |
| 4.11(1) | Information on contracts of purchase and sale of securities concluded by professional securities market participants engaged in brokerage, dealer activities, securities management activities, and (or) by management companies not through organized trading, as part of the information provided for by <a href="#">paragraphs Four - Ten item 1.5</a> of Bank of Russia Directive No. 6264-U   | The information shall be disclosed in the manner and within the time limits set in <a href="#">Chapter 2</a> of Bank of Russia Directive No. 6264-P |
|         | (item 4.11(1) was introduced by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023 )  |   |
| 4.12    | Ceased to be in force. - <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023   |   |
| 4.13    | Information contained in the register of over-the-counter (OTC) transactions with goods admitted to organized trading which is   | Shall not be disclosed  |

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|   | <p>maintained by the exchange, except for the case when the exchange provides the entity that made an OTC contract with an extract from the register of OTC transactions on the over-the-counter contract made by the specified entity in accordance with <a href="#">item 26</a> of the Regulation on provision of information on contracts concluded by the parties other than through organized trading where the obligations provide for transfer of ownership of goods admitted to organized trading, and on maintaining a register of such contracts and providing information from this register, approved by Decree of the Government of the Russian Federation dated July 23, 2013 N 892 "On Approval of the Regulation on Provision of Information on Contracts Concluded by the Parties other than Through Organized Trading Where the Obligations Provide For Transfer of Ownership of Goods Admitted to Organized Trading, and on Maintaining a Register of Such Contracts and Provision of Information from the Said Register, and on Recognition of Some Legislative Acts of the Government of the Russian Federation as Null and Void"</p> |                        |
| (as amended by <a href="#">Directive</a> of the Bank of Russia N 6476-U dated 28.06.2023) |  |                        |
| 4.14  | <p>Information contained in the register of applications submitted to the trade organizer by bidders for transactions with securities, foreign currency, goods, or for conclusion of contracts, which are derivative financial instruments, except for the case when the trade organizer provides this information to bidders in accordance with <a href="#">sub-item 2.4.4 item 2.4</a> of Regulation of the Bank of Russia N 437-P</p>   | Shall not be disclosed |
| 4.15  | <p>Information contained in the register of contracts concluded through organized trading, except for the cases when bidders, persons who are (were) clients of a bidder, are provided with an extract from the register of contracts in accordance with <a href="#">sub-item 2.5.2 item 2.5</a> of Regulation of the Bank of Russia N 437-P</p>   | Shall not be disclosed |
| 5   | Insider information of a clearing company, and of a depository and a credit organization that perform settlements based on the results of transactions made  |                        |

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|     | through trade organizers  |                        |
| 5.1 | Information contained in the clearing registers of the clearing participants that record the obligations of the clearing participants to be fulfilled under the trades made through the trade organizer, and also record the information on securities, cash, foreign currency, goods intended for the discharge of the clearing participant's obligations                        | Shall not be disclosed |
| 5.2 | Information contained in instructions to the depository that performs settlements based on the results of transactions made through trade organizers, for crediting securities to the trading depo account  | Shall not be disclosed |
| 5.3 | Information on operations with clearing bank accounts, clearing depo accounts and clearing commodity accounts   | Shall not be disclosed |
| 5.4 | Information on operations with trading bank accounts, trading depo accounts and trading commodity accounts  | Shall not be disclosed |
| 5.5 | Information on obligations of clearing participants admitted to clearing  | Shall not be disclosed |
| 6   | Insider information of a professional participant in the securities market and other entity making, in the interests of clients, transactions with financial instruments, foreign currency and (or) goods admitted to organized trading (in respect of which an application for admission to organized trading has been submitted) that received insider information from clients |                        |
| 6.1 | Information contained in client orders for transactions with securities   | Shall not be disclosed |
| 6.2 | Information contained in client orders to conclude contracts that are derivative financial instruments  | Shall not be disclosed |
| 6.3 | Information contained in client orders for transactions with commodities  | Shall not be disclosed |
| 6.4 | Information contained in client orders for acquisition (purchase) or  | Shall not be disclosed |

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|     | sale of foreign currency through trade organizers   |   |
| 6.5 | Information about operations of a credit institution with foreign currency related to banking operations in the interests of clients, if such operations require the credit institution to perform operations through organized trading, and the performance of these operations may have a material effect on the price of foreign currency  | Shall not be disclosed  |
| 6.6 | Information about operations on clients' depo accounts  | Shall not be disclosed  |
| 6.7 | Information about the decisions of the trustee's employees on transactions with securities admitted to organized trading (in respect of which an application for admission to organized trading has been submitted), and (or) on the conclusion of contracts that are derivative financial instruments, in the event that such transactions and ( or) contracts may have a material effect on the prices of financial instruments | Shall not be disclosed  |
| 7   | Insider information of a news agency that discloses information of entities specified in <a href="#">items 1, 3 and 4 Article 4</a> of Federal Law dated July 27, 2010 N 224-FZ, of bodies and organizations specified in <a href="#">item 9 Article 4</a> of Federal Law dated July 27, 2010 N 224-FZ, of the Bank of Russia (hereinafter referred to as the news agency)  |   |
| 7.1 | Information classed as insider information of issuers, provided for in <a href="#">line 1</a> of this Appendix which is disclosed by a news agency  | The information shall be disclosed as part of the news agency's actions to disclose insider information of issuers  |
| 7.2 | Information classed as insider information of managing companies, provided for in <a href="#">line 3</a> of this Appendix which is disclosed by a news agency   | The information shall be disclosed as part of the news agency's actions to disclose insider information of managing companies   |
| 8   | Insider information of entities assigning credit ratings to entities specified in <a href="#">items 1 and 3 of Article 4</a> of Federal Law dated July 27, 2010 N 224-FZ, and to securities as well (hereinafter referred to as entities assigning ratings)   |   |
| 8.1 | Information on assignment, confirmation, revision, withdrawal of credit ratings and forecasts for credit ratings in respect of the entities specified in <a href="#">items 1 and 3 of Article 4</a> of Federal Law dated July 27,   | The information shall be disclosed on the official website of the entity assigning ratings on the Internet (hereinafter referred to as the website of the entity assigning ratings) within the time limits established by the rules for disclosing credit ratings and |

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|     | 2010 N 224-FZ  | other related messages, including forecasts for credit ratings provided for by <a href="#">item 4 Part 1 Article 13</a> of Federal Law No. 222-FZ dated July 13, 2015 "On Activities of Credit Rating Agencies in the Russian Federation, on Amendments to Article 76.1 of the Federal Law "On the Central Bank of the Russian Federation (Bank of Russia)", and on Recognizing Certain Provisions of Legislative Acts of the Russian Federation as Null and Void" (Official Gazette of the Russian Federation, 2015, N 29, Article 4348) (hereinafter, the rules for disclosing credit ratings and other related messages) |
| 8.2 | Information on assignment, confirmation, revision, withdrawal of credit ratings and forecasts for credit ratings in respect of securities admitted to organized trading (in respect of which an application for admission to organized trading has been submitted) | The information shall be disclosed on the website of the entity assigning ratings within the time limits established by the rules for disclosing credit ratings and other related messages  |